(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 3 January 2002 (03.01.2002)

PCT

(10) International Publication Number WO 02/00797 A1

- (51) International Patent Classification⁷: C09C 1/36, C01G 23/053, A61K 7/42, C08K 9/02, C01B 13/14, 13/36, C08K 9/04
- (21) International Application Number: PCT/GB01/02781
- (22) International Filing Date: 25 June 2001 (25.06.2001)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

0015381.7

26 June 2000 (26.06.2000) GB

- (71) Applicant (for all designated States except US): ACMA LIMITED [GB/GB]; The Heath, Runcom, Cheshire WA7 4QF (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): DRANSFIELD, Graham, Paul [GB/GB]; 41 Crayke Road, Hartburn, Stockton-on-Tees, Cleveland TS18 4EY (GB). CUTTER, Susan [GB/GB]; 36 Woodrow Avenue, Marton, Middlesbrough TS7 8HQ (GB). LYTH, Philip, Laurence [GB/GB]; 6 Neath Court, Ingleby Barwick, Stockton-On-Tees TS17 5DN (GB).

- (74) Agents: HUMPHRIES, Martyn et al.; Uniqema Intellectual Property Dept., P.O. Box 90, Wilton, Middlesbrough, Cleveland TS90 8JE (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



(54) Title: PARTICULATE METAL OXIDE

(57) Abstract: A particulate metal oxide having the mean length of the primary particles in the range from 50 to 90 nm, the mean width of the primary particles in the range from 5 to 20 nm, and the median volume particle diameter of the secondary particles is less than 45 nm. The metal oxide can be used in a sunscreen product that exhibits both effective UV protection and improved transparency.

1

Particulate Metal Oxide

Field of Invention

The present invention relates to a particulate metal oxide, a metal oxide dispersion and in particular to the use thereof in a sunscreen product.

Background

10 Metal oxides such as titanium dioxide, zinc oxide and iron oxides have been employed as attenuators of ultraviolet light in applications such as sunscreens, plastics films and resins. Due to the increased awareness of the link between ultraviolet light and skin cancer, there has been an increasing requirement for ultraviolet light protection in everyday skincare and cosmetics products. Unfortunately, existing commercially available metal oxide products, such as titanium dioxide, are not sufficiently transparent and can have an unacceptable whitening effect when used on the skin. There is a need for a metal oxide which exhibits improved transparency, reduced whitening, and provides broad spectrum ultraviolet light protection.

Review of the prior art

20

25

GB-2206339-A is directed to an oil dispersion of titanium dioxide particles having a particle size in the range from 0.01 to 0.15 microns.

GB-2205088-A discloses particulate acicular titanium dioxide having a coating layer of aluminium oxide and silicon oxide.

GB-2226018-A is directed to an aqueous dispersion of particulate acicular titanium dioxide containing an acrylic dispersing agent.

30 Summary of the Invention

We have now surprisingly discovered an improved metal oxide, which overcomes or significantly reduces at least one of the aforementioned problems.

Accordingly, the present invention provides a particulate metal oxide wherein the mean length of the primary particles is in the range from 50 to 90 nm, the mean width of the primary particles is

CONFIRMATION COPY

10

15

20

25

defined herein.

in the range from 5 to 20 nm, and the median particle volume diameter of the secondary particles is less than 45 nm.

The present invention also provides a dispersion comprising particles of metal oxide in a dispersing medium wherein the mean length of the primary particles is in the range from 50 to 90 nm, the mean width of the primary particles is in the range from 5 to 20 nm, and the median particle volume diameter of the secondary particles is less than 45 nm.

The invention further provides a particulate metal oxide wherein the mean length of the primary particles is in the range from 55 to 85 nm, the mean width of the primary particles is in the range from 8 to 19 nm, and at least 70% of the primary particles have a length in the range from 55 to 85 nm.

The invention further provides a particulate metal oxide, optionally hydrophobic, having an extinction coefficient at 524 nm (E_{524}) in the range from 0.2 to 0.7 l/g/cm, an extinction coefficient at 450 nm (E_{450}) in the range from 0.5 to 1.5 l/g/cm, an extinction coefficient at 360 nm (E_{360}) in the range from 4 to 8 l/g/cm, an extinction coefficient at 308 nm (E_{308}) in the range from 40 to 60 l/g/cm, a maximum extinction coefficient E(max) in the range from 50 to 70 l/g/cm, and a λ (max) in the range from 270 to 290 nm.

The invention further provides a sunscreen product comprising a metal oxide or dispersion as

The invention still further provides the use of a metal oxide or dispersion as defined herein in the manufacture of a sunscreen having reduced whiteness.

Preferably the metal oxide used in the present invention comprises an oxide of titanium, zinc or iron, and most preferably the metal oxide is titanium dioxide.

The preferred titanium dioxide particles comprise anatase and/or rutile crystal form. The titanium dioxide particles preferably comprise a major portion of rutile, more preferably greater than 60% by weight, particularly greater than 70%, and especially greater than 80% by weight of rutile. The titanium dioxide particles preferably comprise in the range from 0.01 to 5%, more preferably 0.1 to 2%, and particularly 0.2 to 0.5% by weight of anatase. In addition, the titanium dioxide particles preferably comprise less than 40%, more preferably less than 30%, and particularly less than 25% by weight of amorphous titanium dioxide. The basic particles may be prepared by standard procedures, such as using the chloride process, or by the sulphate

process, or by hydrolysis of an appropriate titanium compound such as titanium oxydichloride or an organic or inorganic titanate, or by oxidation of an oxidisable titanium compound, e.g. in the vapour state. The titanium dioxide particles are preferably prepared by the hydrolysis of a titanium compound, particularly of titanium oxydichloride.

5

10

15

30

35

The individual or primary metal oxide particles are preferably acicular in shape and have a long axis (maximum dimension or length) and short axis (minimum dimension or width). The third axis of the particles (or depth) is preferably approximately the same dimensions as the width. The size of the primary particles can be suitably measured using electron microscopy. The size of a particle can be determined by measuring the length and width of a filler particle selected from a photographic image obtained by using a transmission electron microscope. Mean values can be determined from the measurements of at least 300 particles, as described herein.

The mean length by number of the primary metal oxide particles is in the range from 50 to 90 nm, preferably 55 to 85 nm, more preferably 60 to 80 nm, particularly 65 to 77 nm, and especially 69 to 73 nm. The mean width by number of the particles is in the range from 5 to 20 nm, preferably 8 to 19 nm, more preferably 10 to 18 nm, particularly 12 to 17 nm, and especially 14 to 16 nm.

The size distribution of the primary metal oxide particles can also have a significant effect on the final properties of, for example, a sunscreen product comprising the metal oxide. In a preferred embodiment of the invention suitably at least 40%, preferably at least 50%, more preferably at least 60%, particularly at least 70%, and especially at least 80% by number of particles have a length within the above preferred ranges given for the mean length. In addition, suitably at least 40%, preferably at least 50%, more preferably at least 60%, particularly at least 70%, and especially at least 80% by number of particles have a width within the above preferred ranges given for the mean width.

The primary metal oxide particles preferably have a mean aspect ratio $d_1:d_2$ (where d_1 and d_2 , respectively, are the length and width of the particle) in the range from 2.0 to 8.0:1, more preferably 3.0 to 6.5:1, particularly 4.0 to 6.0:1, and especially 4.5 to 5.5:1.

The primary metal oxide particles preferably have a median volume particle diameter (equivalent spherical diameter corresponding to 50% of the volume of all the particles, read on the cumulative distribution curve relating volume % to the diameter of the particles - often referred to as the "D(v,0.5)" value), measured as herein described, in the range from 25 to 35 nm, more preferably 27 to 33 nm, particularly 28 to 32 nm, and especially 29 to 31 nm.

4

In one embodiment of the invention, the primary metal oxide particles aggregate to form clusters or agglomerates of secondary particles comprising a plurality of metal oxide primary particles. The aggregation process of the primary metal oxide particles may take place during the actual synthesis of the metal oxide and/or during subsequent processing. The mean number of primary metal oxide particles present in the secondary particles according to the present invention is suitably in the range from 1 to 10, preferably 1.05 to 8, more preferably 1.1 to 5, particularly 1.3 to 3, and especially 1.4 to 2.0. Thus, statistically at least some of the secondary particles may contain only one primary particle, ie some primary particles are also secondary particles. The term "secondary" particles is partly used as a label to relate to particle size

The particulate metal oxide according to the present invention has a median volume particle diameter (equivalent spherical diameter corresponding to 50% of the volume of all the particles, read on the cumulative distribution curve relating volume % to the diameter of the particles - often referred to as the "D(v,0.5)" value)) of the secondary particles, measured as herein described, of less than 45 nm, preferably in the range from 30 to 40 nm, more preferably 32 to 38 nm, particularly 33 to 37 nm, and especially 34 to 36 nm.

results obtained using a particular technique, as described herein.

10

15

20

25

30

35

The size distribution of the secondary metal oxide particles can also be an important parameter in obtaining, for example, a sunscreen product having the required properties. The metal oxide particles preferably have no more than 16% by volume of particles having a volume diameter of less than 20 nm, more preferably less than 24 nm, particularly less than 28 nm, and especially less than 32 nm. In addition, the metal oxide particles preferably have more than 84% by volume of particles having a volume diameter of less than 70 nm, more preferably less than 60 nm, particularly less than 50 nm, and especially less than 40 nm.

It is preferred that none of the secondary metal oxide particles should have an actual particle size exceeding 150 nm. Particles exceeding such a size may be removed by milling processes which are known in the art. However, milling operations are not always totally successful in eliminating all particles greater than a chosen size. In practice, therefore, the size of 95%, preferably 99% by volume of the particles should not exceed 150 nm.

Particle size of the secondary metal oxide particles described herein may be measured by electron microscope, coulter counter, sedimentation analysis and static or dynamic light scattering. Techniques based on sedimentation analysis are preferred. The median particle size may be determined by plotting a cumulative distribution curve representing the percentage of particle volume below chosen particle sizes and measuring the 50th percentile. The median

5

particle volume diameter of the secondary metal oxide particles is sultably measured using a Brookhaven particle sizer, as described herein.

In a particularly preferred embodiment of the invention, the metal oxide particles have a BET specific surface area, measured as described herein, of greater than 40, more preferably in the range from 50 to 100, particularly 60 to 90, and especially 65 to 75 m²/g.

5

10

15

20

25

30

35

The particles of metal oxide may comprise substantially pure metal oxide, but in one embodiment of the invention the particles have an inorganic coating. For example, metal oxide particles, such as titanium dioxide, may be coated with oxides of other elements such as oxides of aluminium, zirconium or silicon, or mixtures thereof such as alumina and silica as disclosed in GB-2205088-A, the teaching of which is incorporated herein by reference. The preferred amount of inorganic coating is in the range from 2% to 25%, more preferably 4% to 20%, particularly 6% to 15%, and especially 8% to 12% by weight, calculated with respect to the weight of metal oxide core particles. The inorganic coating may be applied using techniques known in the art. A typical process comprises forming an aqueous dispersion of metal oxide particles in the presence of a soluble salt of the inorganic element whose oxide will form the coating. This dispersion is usually acidic or basic, depending upon the nature of the salt chosen, and precipitation of the inorganic oxide is achieved by adjusting the pH of the dispersion by the addition of acid or alkali, as appropriate.

In a particularly preferred embodiment of the invention, the particles of metal oxide are coated in order to render them hydrophobic. Suitable coating materials are water-repellent, preferably organic, and include fatty acids, preferably fatty acids containing 10 to 20 carbon atoms, such as lauric acid, stearic acid and isostearic acid, salts of the above fatty acids such as sodium salts and aluminium salts, fatty alcohols, such as stearyl alcohol, and silicones such as polydimethylsiloxane and substituted polydimethylsiloxanes, and reactive silicones such as methylhydrosiloxane and polymers and copolymers thereof. Stearic acid and/or salt thereof is particularly preferred. The organic coating may be applied using any conventional process. Typically, metal oxide particles are dispersed in water and heated to a temperature in the range 50°C to 80°C. A fatty acid, for example, is then deposited on the metal oxide particles by adding a salt of the fatty acid (e.g. sodium stearate) to the dispersion, followed by an acid. Alternatively, the metal oxide core particles can be mixed with a solution of the water-repellent material in an organic solvent, followed by evaporation of the solvent. In an alternative embodiment of the invention, the water-repellant material can be added directly to the dispersion, during preparation thereof, such that the hydrophobic coating is formed in situ. Generally, the particles are treated with up to 25%, more preferably in the range from 3% to

6

20%, particularly 6% to 17%, and especially 10% to 15% by weight of organic material, preferably fatty acid, calculated with respect to the metal oxide core particles.

In a preferred embodiment of the invention, the metal oxide particles may be coated with both an inorganic and an organic coating, either sequentially or as a mixture. It is preferred that the inorganic coating, preferably alumina, is applied first followed by the organic coating, preferably fatty acid and/or salt thereof. Thus, preferred metal oxide particles according to the present invention comprise (i) in the range from 60% to 98%, more preferably 65% to 95%, particularly 70% to 80%, and especially 72% to 78% by weight of metal oxide, preferably titanium dloxide, with respect to the total weight of the particles, (ii) in the range from 0.5% to 15%, more preferably 2% to 12%, particularly 5% to 10%, and especially 6% to 9% by weight of inorganic coating, preferably alumina, with respect to the total weight of the particles, and (iii) in the range from 1% to 21%, more preferably 4% to 18%, particularly 7% to 15%, and especially 9% to 12% by weight of organic coating, preferably fatty acid and/or salt thereof, with respect to the total weight of the particles. Such metal oxide particles provide a surprising combination of both improved photostability and dispersibility, particularly when dispersed in a suitable organic medium.

The metal oxide particles used in the present invention exhibit improved transparency preferably having an extinction coefficient at 524 nm (E_{524}), measured as herein described, of less than 2.0, more preferably in the range from 0.1 to 1.0, particularly 0.2 to 0.7, and especially 0.3 to 0.5 l/g/cm. In addition, the metal oxide particles preferably have an extinction coefficient at 450 nm (E_{450}), measured as herein described, of less than 3.0, more preferably in the range from 0.1 to 2.0, particularly 0.5 to 1.5, and especially 0.7 to 1.0 l/g/cm.

25

30

35

20

5

10

15

The metal oxide particles exhibit effective UV absorption, suitably having an extinction coefficient at 360 nm (E_{360}), measured as herein described, of greater than 3, preferably in the range from 4 to 10, more preferably 5 to 8, particularly 5.5 to 7.5, and especially 6 to 7 l/g/cm. The metal oxide particles also preferably having an extinction coefficient at 308 nm (E_{308}), measured as herein described, of greater than 30, more preferably in the range from 35 to 65, particularly 40 to 60, and especially 45 to 55 l/g/cm.

The metal oxide particles preferably have a maximum extinction coefficient E(max), measured as herein described, in the range from 40 to 80, more preferably from 45 to 75, particularly 50 to 70, and especially 55 to 65 l/g/cm. The metal oxide particles preferably have a λ (max), measured as herein described, in the range from 260 to 290, more preferably 265 to 285, particularly 268 to 280, and especially 270 to 275 nm.

10

15

20

25

30

The metal oxide particles suitably exhibit reduced whiteness, preferably having a change in whiteness ΔL of a sunscreen product containing the particles, measured as herein described, of less than 3, more preferably in the range from 0.5 to 2.5, and particularly 1.0 to 2.0. In addition, a sunscreen product containing the particles preferably has a whiteness index, measured as herein described, of less than 100%, more preferably in the range from 10% to 80%, particularly 20% to 60%, and especially 30% to 50%.

The metal oxide particles suitably have reduced photogreying, preferably having a photogreying index, measured as herein described, of less than 15, more preferably in the range from 1 to 10, particularly 2 to 7, and especially 3 to 5.

The particulate metal oxide according to the present invention may be in the form of a free-flowing powder. A powder having the required particle size for the secondary metal oxide particles, as described herein, may be produced by milling processes known in the art. The final milling stage of the metal oxide is suitably carried out in dry, gas-borne conditions to reduce aggregation. A fluid energy mill can be used in which the aggregated metal oxide powder is continuously injected into highly turbulent conditions in a confined chamber where multiple, high energy collisions occur with the walls of the chamber and/or between the aggregates. The milled powder is then carried into a cyclone and/or bag filter for recovery. The fluid used in the energy mill may be any gas, cold or heated, or superheated dry steam.

The particulate metal oxide may be formed into a slurry, or preferably a liquid dispersion, in any suitable aqueous or organic liquid medium. By liquid dispersion is meant a true dispersion, ie where the solid particles are stable to aggregation. The particles in the dispersion are relatively uniformly dispersed and resistant to settling out on standing, but if some settling out does occur, the particles can be easily redispersed by simple agitation.

Cosmetically acceptable materials are preferred as the liquid medium. A useful organic medium is a liquid oil such as vegetable oils, e.g. fatty acid glycerides, fatty acid esters and fatty alcohols. A preferred organic medium is a siloxane fluid, especially a cyclic oligomeric dialkylsiloxane, such as the cyclic pentamer of dimethylsiloxane known as cyclomethicone. Alternative fluids include dimethylsiloxane linear oligomers or polymers having a suitable fluidity and phenyltris(trimethylsiloxy)silane (also known as phenyltrimethicone).

Examples of suitable organic media include avocado oil, C12-15 alkyl benzoate, C12-15 alkyl ethylhexanoate, C12-15 alkyl lactate, C12-15 alkyl salicylate, C13-14 isoparaffin, C18-36 acid glycol ester, C18-36 acid triglyceride, caprylic/capric glycerides, caprylic/capric triglyceride,

10

15

20

25

30

35

caprylic/capric/lauric triglyceride, caprylic/capric/linoleic triglyceride, caprylic/capric/myristic/stearic triglyceride, caprylic/capric/stearic triglyceride, castor oil, castor oil-silicone ester, cetearyl ethylhexanoate, cetearyl isononanoate, cetearyl palmitate, cetearyl stearate, cetyl dimethicone, cetyl dimethicone copolyol, cetyl ethylhexanoate, cetyl glycol isostearate, cetyl isononanoate, cetyl lactate, cetyl myristate, cetyl oleate, cetyl palmitate, cetyl ricinoleate, cetyl stearate, cocoglycerides, coconut oil, cyclomethicone, cyclopentasiloxane, cyclotetrasiloxane, decyl isostearate, decyl oleate, decyl polyglucoside, dibutyl adipate, diethylhexyl dimer dilinoleate, diethylhexyl malate, diisopropyl adipate, diisopropyl dimer dilinoleate, diisostearoyl trimethylolpropane siloxy silicate, diisostearyl adipate, diisostearyl dimer dilinoleate, diisostearyl malate, diisostearyl trimethylolpropane siloxy silicate, dilauroyl trimethylolpropane siloxy silicate, dilauryl trimethylolpropane siloxy silicate, dimethicone, dimethicone copolyol, dimethicone propyl PG-betaine, dimethiconol, dimethyl isosorbide, dioctyl maleate, dioctylodedecyl dimer dilonoleate, ethylhexyl benzoate, ethylhexyl cocoate, ethylhexyl dimethyl PABA, ethylhexyl ethylhexanoate, ethylhexyl hydroxystearate, ethylhexyl hydroxystearate benzoate, ethylhexyl isononanoate, ethylhexyl isopalmitate. ethylhexyl isostearate, ethylhexyl laurate, ethylhexyl methoxycinnamate, ethylhexyl myristate, ethylhexyl neopentanoate, ethylhexyl oleate, ethylhexyl palmitate, ethylhexyl salicylate, ethylhexyl stearate, glyceryl caprate, glyceryl caprylate, glyceryl caprylate/caprate, glyceryl cocoate, glyceryl dilaurate, glyceryl dioleate, glyceryl hydroxystearate, glyceryl isostearate, glyceryl laurate, glyceryl oleate, glycol oleate, glycol ricinoleate, helianthus annuus (hybrid sunflower) seed oil, helianthus annuus (sunflower) seed oil, homosalate, isoamyl laurate, isoamyl p-methoxycinnamate, isocetyl alcohol, isocetyl behenate, isocetyl ethylhexanoate, isocetyl isostearate, isocetyl laurate, isocetyl linoleoyl stearate, isocetyl myristate, isocetyl palmitate, isocetyl salicylate, isocetyl stearate, isocetyl stearoyl stearate, isohexadecane. isononyl isononanoate, isopropyl C12-15-pareth-9 carboxylate, isopropyl isostearate, isopropyl lanolate, isopropyl laurate, isopropyl linoleate, isopropyl methoxycinnamate, isopropyl myristate, isopropyl oleate, isopropyl palmitate, isopropyl PPG-2-isodeceth-7 carboxylate, isopropyl ricinoleate, isopropyl stearate, isostearic acid, isostearyl alcohol, isostearyl ethylhexanoate, isostearyl isononanoate, isostearyl isostearate, isostearyl lactate, isostearyl myristate, isostearyl neopentanoate, isostearyl palmitate, isostearyl stearoyl stearate, jojoba oil, lanolin (lanolin oil), maleated soybean oil, myristyl isostearate, myristyl lactate, myristyl myristate, myristyl neopentanoate, myristyl stearate, octocrylene, octyldecanol, octyldodecanol, oenothera biennis (evening primrose oil), paraffinum liquidum (mineral oil), PCA dimethicone, pentaerythrityl tetraisononanoate, pentaerythrityl tetraisostearate, perfluoropolymethylisopropyl ether, persea gratissima (avocado oil), phenyl trimethicone, PPG-15 stearyl ether, propylene glycol ceteth-3 acetate, propylene glycol dicaprylate, propylene glycol dicaprylate/dicaprate, propylene glycol dipelargonate, propylene glycol distearate, propylene glycol isoceteth-3 acetate, propylene

9

glycol isostearate, propylene glycol laurate, proylene glycol ricinoleate, propylene glycol stearate, prunus dulcis (sweet almond oil), squalane, squalene, tricaprylin, tricaprylyl citrate, tridecyl ethylhexanoate, tridecyl neopentanoate, tridecyl stearoyl stearate, triethylhexanoin, triethylhexyl citrate, trihydroxystearin, triisocetyl citrate, triisostearin, triisostearyl citrate, trimethylolpropane triisostearate, trimethylsiloxysilicate, triticum vulgare (wheat germ oil), vitis vinifera (grape) seed oil, and mixtures thereof.

The metal oxide dispersions may also contain a dispersing agent in order to improve the properties thereof. The dispersing agent is preferably present in the range from 1% to 50%, more preferably 3% to 30%, particularly 5% to 20%, and especially 8% to 15% by weight based on the total weight of metal oxide particles.

Suitable dispersing agents for use in an organic medium include substituted carboxylic acids, soap bases and polyhydroxy acids. Typically the dispersing agent can be one having a formula X.CO.AR in which A is a divalent bridging group, R is a primary secondary or tertiary amino group or a salt thereof with an acid or a quaternary ammonium salt group and X is the residue of a polyester chain which together with the -CO- group is derived from a hydroxy carboxylic acid of the formula HO-R'-COOH. As examples of typical dispersing agents are those based on ricinoleic acid, hydroxystearic acid, hydrogenated castor oil fatty acid which contains in addition to 12-hydroxystearic acid small amounts of stearic acid and palmitic acid. Dispersing agents based on one or more polyesters or salts of a hydroxycarboxylic acid and a carboxylic acid free of hydroxy groups can also be used. Compounds of various molecular weights can be used. Other suitable dispersing agents are those monoesters of fatty acid alkanolamides and carboxylic acids and their salts. Alkanolamides are based on ethanolamine, propanolamine or aminoethyl ethanolamine for example. Alternative dispersing agents are those based on polymers or copolymers of acrylic or methacrylic acids, e.g. block copolymers of such monomers. Other dispersing agents of similar general form are those having epoxy groups in the constituent radicals such as those based on the ethoxylated phosphate esters. The dispersing agent can be one of those commercially referred to as a hyper dispersant.

30

35

5

10

15

20

25

Suitable dispersing agents for use in an aqueous medium include a polymeric acrylic acid or a salt thereof. Partially or fully neutralized salts are usable e.g. the alkali metal salts and ammonium salts. Examples of dispersing agents are polyacrylic acids, substituted acrylic acid polymers, acrylic copolymers, sodium and/or ammonium salts of polyacrylic acids and sodium and/or ammonium salts of acrylic copolymers. Such dispersing agents are typifled by polyacrylic acid itself and sodium or ammonium salts thereof as well as copolymers of an acrylic acid with other suitable monomers such as a sulphonic acid derivative such as 2-acrylamido 2-methyl

10

propane sulphonic acid. Comonomers polymerisable with the acrylic or a substituted acrylic acid can also be one containing a carboxyl grouping. Usually the dispersing agents have a molecular weight of from 1,000 to 10,000 and are substantially linear molecules.

- A surprising feature of the present invention is that dispersions, particularly in an organic medium, can be produced which contain at least 35%, preferably at least 40%, more preferably at least 45%, particularly at least 50%, especially at least 55%, and generally up to 60% by weight of the total weight of the dispersion, of metal oxide particles.
- Alternatively, the particulate metal oxide may be in the form of a lotion or cream of a solid and/or semi-solid dispersion. Suitable solid or semi-solid dispersions may contain, for example, in the range from 50% to 90%, preferably 60% to 85% by weight of particulate metal oxide according to the present invention, together with any one or more of the liquid media disclosed herein, or a high molecular polymeric material, such as a wax.

15

20

25

30

The particulate metal oxide and dispersions of the present invention are useful as ingredients for preparing sunscreen compositions, especially in the form of emulsions. The dispersion may further contain conventional additives suitable for use in the intended application, such as conventional cosmetic ingredients used in sunscreens. The particulate metal oxide according to the present invention may provide the only ultraviolet light attenuators in a sunscreen product according to the invention, but other sunscreening agents, such as other metal oxides and/or other organic materials may also be added. For example, the preferred titanium dioxide particles described herein may be used in combination with existing commercially available titanium dioxide and/or zinc oxide sunscreens. Suitable organic sunscreens for use with metal oxide according to the Invention include p-methoxy cinnamic acid esters, sallcylic acid esters, pamino benzoic acid esters, non-sulphonated benzophenone derivatives, derivatives of dibenzoyl methane and esters of 2-cyanoacrylic acid. Specific examples of useful organic sunscreens include benzophenone-1, benzophenone-2, benzophenone-3, benzophenone-6, benzophenone-8, benzophenone-12, isopropyl dibenzoyl methane, butyl methoxy dibenzoyl methane, ethyl dihydroxypropyl PABA, glyceryl PABA, octyl dimethyl PABA, octyl methoxycinnamate, homosalate, octyl salicylate, octyl triazone, octocrylene, etocrylene, menthyl anthranilate, and 4methylbenzylidene camphor.

The invention is illustrated by the following non-limiting examples.

35

In this specification the following test methods have been used to determine certain properties of the metal oxide particles:

11

1) Particle Size Measurement of Primary Metal Oxide Particles

A small amount of metal oxide, typically 2 mg, was pressed into approximately 2 drops of an oil, for one or two minutes using the tip of a steel spatula. The resultant suspension was diluted with solvent and a carbon-coated grid suitable for transmission electron microscopy was wetted with the suspension and dried on a hot-plate. Approximately 18 cm x 21 cm photographs were produced at an appropriate, accurate magnification. Generally about 300-500 crystals were displayed at about 2 diameters spacing. A minimum number of 300 primary particles were sized using a transparent size grid consisting of a row of circles of gradually increasing diameter, representing spherical crystals. Under each circle a series of ellipsoid outlines were drawn representing spheroids of equal volume and gradually increasing eccentricity. The basic method assumes log normal distribution standard deviations in the 1.2-1.6 range (wider crystal size distributions would require many more crystals to be counted, for example of the order of 1000). The dispersion method described above has been found to be suitable for producing almost totally dispersed distributions of primary metal oxide particles whilst introducing minimal crystal fracture. Any residual aggregates (or secondary particles) are sufficiently well defined that they, and any small debris, can be ignored, and effectively only primary particles included in the count.

Mean length, mean width and length/width size distributions of the primary metal oxide particles can be calculated from the above measurements. Similarly, the median particle volume diameter of the primary particles can also be calculated.

A dispersion of metal oxide particles was produced by mixing 10g of polyhydroxystearic acid with 90g of isopropyl myristate, and then adding 100 g of metal oxide into the solution. The mixture was passed through a horizontal bead mill, operating at approximately 1500 r.p.m. and containing zirconia beads as grinding media for 15 minutes. The dispersion of metal oxide particles was diluted to between 30 and 40 g/l by mixing with isopropyl myristate. The diluted

sample was analysed on the Brookhaven BI-XDC particle sizer in centrifugation mode, and the median particle volume diameter measured.

2) Median Particle Volume Diameter Measurement of Secondary Metal Oxide Particles

3) BET Specific Surface Area of Metal Oxide Particles

The single point BET specific surface area was measured using a Micromeritics Flowsorb II 2300.

35

30

5

10

15

. 20

15

25

30

35

4) Change in Whiteness and Whiteness Index

A sunscreen formulation was coated on to the surface of a glossy black card and drawn down using a No 2 K bar to form a film of 12 μ m wet thickness. The film was allowed to dry at room temperature for 10 minutes and the whiteness of the coating on the black surface (L_F) measured using a Minolta CR300 colourimeter. The change in whiteness ΔL was calculated by subtracting the whiteness of the substrate (L_S) from the whiteness of the coating (L_F). The whiteness index is the percentage change in whiteness ΔL compared to a standard titanium dioxide (= 100% value) (Tayca MT100T (ex Tayca Corporation)).

10 5) Photogreying Index

A metal oxide dispersion was placed inside a 6 cm x 3 cm acrylic cell (containing a 2 cm x 1.5 cm space), and the cell made air tight by clamping a glass slide over the top, ensuring that no air bubbles were present. The initial whiteness (L_1) was measured using a Minolta CR300 colourimeter. The cell was then placed on a turntable revolving at 30 rpm and exposed to UV light for 2 hours (a UV lamp containing 4 TL29D,16/09 tubes mounted 12 cm from the cell), and the whiteness (L_T) remeasured. The photogreying index $\Delta L_1 = L_1 - L_2$.

Examples

20 Example 1

2 moles of titanium oxydichloride in acidic solution were reacted with 6 moles of NaOH in aqueous solution, with stirring, in a 3 litre glass vessel. After the initial reaction phase, the temperature was increased to above 70°C, by heating at a rate of approximately 1°C /min, and stirring continued for at least another 60 minutes. The mixture was then neutralised by the addition of NaOH in aqueous solution, and allowed to cool below 70°C.

To the resultant dispersion, an alkaline solution of sodium aluminate was added, equivalent to 7% by weight Al₂O₃ on TiO₂ weight. The temperature was maintained below 70°C during the addition. The temperature was then increased to above 70°C, and stirred for at least another 10 minutes. Sodium stearate equivalent to 12.5% by weight stearate on weight of TiO₂ was added, and the reaction mixture again stirred for at least a further 10 minutes.

The dispersion was neutralised to pH 6.5 to 7.0 by adding 36% hydrochloric acid solution over 30 minutes. The neutralised slurry was aged for 15 minutes whilst being stirred. The slurry was then filtered to produce a filter cake which was then washed repeatedly with demineralised water until the cake conductivity (when a small sample was reslurried to 100 g/l) was less than

 $500~\mu s$. The filter cake was dried in an oven at 105° C for 16 hours and then micropulverised using a hammer mill to produce particulate titanium dioxide.

A dispersion was produced by mixing 10g of polyhydroxystearic acid with 90g of isopropyl myristate, and then adding 100 g of the titanium dioxide produced above into the solution. The mixture was passed through a horizontal bead mill, operating at approximately 1500 r.p.m. and containing zirconia beads as grinding media for 15 minutes.

The dispersion was subjected to the test procedures described herein, and the titanium dioxide exhibited the following properties:

Primary Particles

- i) Mean length = 71 nm,
- ii) Mean width = 15.2 nm,
- 15 iii) Mean aspect ratio = 4.7,
 - iv) Number of particles having a length within 55 to 85 nm = 79% and
 - v) D (v,0.5) = 30 nm.

Secondary Particles

- 20 i) D (v,0.5) = 35 nm,
 - ii) 16% by volume of particles have volume diameter less than 27nm,
 - iii) 84% by volume of particles have volume diameter less than 46 nm,
 - iv) BET specific surface area = 70 m²/g, and
 - v) Photogreying index = 7.

25

A sample (0.1 g) of the milled titanium dioxide dispersion produced above was diluted with cyclohexane (100 ml). This diluted sample was then further diluted with cyclohexane in the ratio sample:cyclohexane of 1:19. The total dilution was 1:20,000.

The diluted sample was then placed in a spectrophotometer (Perkin-Elmer Lambda 2 UV/VIS Spectrophotometer) with a 1 cm path length and the absorbance, of UV and visible light measured. Extinction coefficients were calculated from the equation A=E.c.l, where A=absorbance, E=extinction coefficient in litres per gram per cm, c=concentration in grams per litre, and l=path length in cm.

35

The results were as follows;

PCT/GB01/02781

14

<u>E₅₂₄</u>	E ₄₅₀	<u>E₃₀₈</u>	E ₃₆₀	E(max)	λ (max)
0.4	0.9	43.4	5.6	64.7	273

Example 2

30

35

This titanium dioxide dispersion produced in Example 1 was used to prepare a sunscreen formulation having the following composition.

% by weight

	Phase A:	
10	Arlacel P135 (ex Uniqema)	2.0
	Arlamol HD P135 (ex Uniqema)	5.0
	AEC Cyclomethicone (Pentamer) (ex A&E Connock Ltd)	5.6
	Jojoba Oil) (ex A&E Connock Ltd)	4.0
	Arlamol E (ex Uniqema)	2.4
15	Candelilla Wax (ex Eggar&Co Chemicals Ltd)	1.0
	Magnesium Stearate	0.7
	Titanium Dioxide dispersion produced above	12.0
	Phase B:	
20	Allantoin (ex Uniqema)	0.2
	Atlas G-2330 (ex Uniqema)	3.0
	D-Panthenol (EX Roche Products Ltd)	0.8
	Magnesium Sulfate	0.7
	Aqua (Water)	61.6
25	Preservative	1.0

The ingredients of phase A were mixed and heated to 75-80 °C. The ingredients of phase B were mixed and heated to 75-80 °C and slowly added to phase A with intensive mixing, followed by stirring with a Silverson mixer for 2 minutes. Finally, the mixture was cooled with intensive stirring.

The change in whiteness ΔL was 1.84, and the whiteness index was 60%, for the above sunscreen product. The Sun Protection Factor of the sunscreen product was determined using the *in vitro* method of Diffey and Robson, J. Soc. Cosmet. Chem. Vol. 40, pp 127-133,1989, and a value of 10.7 was obtained.

15

Example 3

The procedure of Example 1 was repeated except that the micropulverised particulate titanium dioxide was mixed at a concentration of 100 g/l with 9:1 isopropyl myristate/polyhydroxystearic acid, and milled with 150 μ m glass beads (Ballotini Grade II) in a small scale sand mill. The resultant titanium dioxide dispersion had the following extinction coefficient values;

E ₅₂₄	E ₄₅₀	E ₃₀₈	E ₃₆₀	E(max)	λ (max)
0.2	0.6	41.8	4.7	62.1	274

The above examples illustrate the improved properties of a particulate metal oxide, dispersion and sunscreen product according to the present invention.

CLAIMS

1. A particulate metal oxide wherein the mean length of the primary particles is in the range from 50 to 90 nm, the mean width of the primary particles is in the range from 5 to 20 nm, and the median particle volume diameter of the secondary particles is less than 45 nm.

- 2. A dispersion comprising particles of metal oxide in a dispersing medium wherein the mean length of the primary particles is in the range from 50 to 90 nm, the mean width of the primary particles is in the range from 5 to 20 nm, and the median particle volume diameter of the secondary particles is less than 45 nm.
- 3. A metal oxide or dispersion according to either one of claims 1 or 2 wherein the primary particles have a mean length in the range from 55 to 85 nm and a mean width in the range from 8 to 19 nm.

15

30

5

- 4. A metal oxide or dispersion according to any one of the preceding claims wherein at least 70% of the particles have a length in the range from 55 to 85 nm.
- 5. A metal oxide or dispersion according to claim 4 wherein at least 70% of the particles 20 have a length in the range from 60 to 80 nm.
 - 6. A metal oxide or dispersion according to any one of the preceding claims wherein the median particle volume diameter of the primary particles is in the range from 28 to 32 nm.
- 25. 7. A metal oxide or dispersion according to any one of the preceding claims wherein the median particle volume diameter of the secondary particles is in the range from 32 to 38 nm.
 - 8. A metal oxide or dispersion according to any one of the preceding claims wherein no more than 16% by volume of the secondary particles have a volume diameter of less than 24 nm.
 - 9. A metal oxide or dispersion according to any one of the preceding claims wherein more than 84% by volume of the secondary particles have a volume diameter of less than 60 nm.
- 35 10. A metal oxide or dispersion according to claim 9 wherein more than 84% by volume of the secondary particles have a volume diameter of less than 50 nm.

- 11. A metal oxide or dispersion according to any one of the preceding claims wherein the mean number of primary particles present in the secondary particles is in the range from 1.3 to 3.
- 5 12. A metal oxide or dispersion according to any one of the preceding claims wherein the particles are hydrophobic.
 - 13. A metal oxide or dispersion according to claim 12 wherein the particles comprise an organic water repellant coating.
- 14. A metal oxide or dispersion according to any one of the preceding claims wherein the particles comprise (i) in the range from 65% to 95% by weight of titanium dioxide, (ii) in the range from 2% to 12% by weight of inorganic coating, preferably alumina, and (iii) in the range from 4% to 18% by weight of organic coating, preferably fatty acid and/or salt thereof, all with
- 15 respect to the total weight of the particles.
 - 15. A dispersion according to any one of claims 2 to 14 comprising an organic medium and at least 45% by weight of metal oxide particles.
- 20 16. A dispersion according to any one of claims 2 to 14 comprising an aqueous medium and at least 35% by weight of metal oxide particles.
 - 17. A particulate metal oxide wherein the mean length of the primary particles is in the range from 55 to 85 nm, the mean width of the primary particles is in the range from 8 to 19 nm, and at least 70% of the primary particles have a length in the range from 55 to 85 nm.
 - 18. A particulate metal oxide, optionally hydrophobic, having an extinction coefficient at 524 nm (E_{524}) in the range from 0.2 to 0.7 l/g/cm, an extinction coefficient at 450 nm (E_{450}) in the range from 0.5 to 1.5 l/g/cm, an extinction coefficient at 360 nm (E_{360}) in the range from 5 to 8 l/g/cm, an extinction coefficient at 308 nm (E_{308}) in the range from 40 to 60 l/g/cm, a maximum extinction coefficient E(max) in the range from 45 to 75 l/g/cm, and a λ (max) in the range from 260 to 290 nm.
- 19. A metal oxide according to claim 18 having a photogreying index in the range from 1 to35 10.
 - 20. A sunscreen product comprising a metal oxide or dispersion as defined in any one of

18

the preceding claims.

21. A sunscreen product according to claim 20 which is transparent when applied to the skin and has a change in whiteness ΔL in the range from 0.5 to 2.5.

5

- 22. A sunscreen product according to either one of claims 20 or 21 having a whiteness index in the range from 10% to 80%.
- 23. The use of a metal oxide or dispersion as defined in any one of claims 1 to 19 in the manufacture of a sunscreen having reduced whiteness.

15

20

25

30

Intermenal Application No PCT/GB 01/02781

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C09C1/36 C01G23/053

C01B13/36

C. DOCUMENTS CONSIDERED TO BE RELEVANT

C08K9/04

A61K7/42

C08K9/02

CO1B13/14

Relevant to claim No.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Category • Citation of document, with indication, where appropriate, of the relevant passages

CO9C CO1G A61K CO8K CO1B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data

Х	-US 5 068 056 A (ROBB JENIFER L 26 November 1991 (1991-11-26) cited in the application column 1, line 19 -column 3, 1 column 3, line 56 -column 4, 1	ine 2	1-13,17-, 20-23
X	EP 0 599 492 A (TIOXIDE GROUP LTD) 1 June 1994 (1994-06-01) column 1, line 4 -column 3, licolumn 4, line 15 - line 22 claims 1-4; examples 1,2		1-11,17, 20
x	EP 0 861 806 A (TITAN KOGYO KK 2 September 1998 (1998-09-02) page 3, line 24 - line 30; cla example 1	j	1,17
X Furti	ner documents are listed in the continuation of box C.	Patent family members are listed	in annex.
'A' docume	legories of cited documents : Int defining the general state of the art which is not	"T" later document published after the Inte or priority date and not in conflict with cited to understand the principle or the	the application but
"A" docume consid "E" earlier of filing of which citation "O" docume other i	int defining the general state of the art which is not ered to be of particular relevance tocurrient but published on or after the international ate in the international ate into the publication of another in or other special reason (as specified) another in or other special reason (as specified) and the publication of another in or other special reason (as specified) and the publication of another intering to an oral disclosure, use, exhibition or	or priority date and not in contlict with	the application but a considered to be c
'A' docume consider in the consideration in the considera	int defining the general state of the art which is not ered to be of particular relevance focument but published on or after the international ate at the international ate at the international ate at the internation of the internation of the stablish the publication date of another a or other special reason (as specified) and referring to an oral disclosure, use, exhibition or neans and published prior to the international filing date but	or priority date and not in conflict with cited to understand the principle or the invention "X" document of particular relevance; the cited cannot be considered novel or cannot involve an inventive step when the document of particular relevance; the cited cannot be considered to involve an involve and its combined with one or moments, such combination being obvious in the art.	the application but sory underlying the salmed invention be considered to sument is taken alone salmed invention entitive step when the eother such docusion a person skilled samily
"A" docume consider if iling of the collaboration o	int defining the general state of the art which is not ered to be of particular relevance of tocurrent but published on or after the international ate of another or or other special reason (as specified) out referring to an oral disclosure, use, exhibition or neans on the international filling date but an the priority date claimed	or priority date and not in conflict with cited to understand the principle or the invention "X" document of particular relevance; the considered novel or cannot involve an inventive step when the document of particular relevance; the considered to involve an inventive step when the document is combined with one or moments, such combined with one or moments, such combination being obvious in the art. "8" document member of the same patent the	the application but only underlying the laimed invention be considered to surment is taken alone almed invention rentive step when the re other such docusto to a person skilled family

Intermenal Application No PCT/GB 01/02781

0.00	TO DE DEL CVANT	101745 01	
C.(Continua Category °	otion) DOCUMENTS CONSIDERED TO BE RELEVANT Cliation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
Υ	US 4 927 464 A (COWIE ALAN G)		1-23
ı	22 May 1990 (1990-05-22) cited in the application column 1, line 30 - line 64 column 2, line 22 - line 46 column 4, line 11 - line 33 claim 1; examples 1-4		
Υ	US 4 246 040 A (OKUMURA JUGORO ET AL) 20 January 1981 (1981-01-20) column 4, line 25 -column 5, line 3; example 1		1–23
Α	CHEMICAL ABSTRACTS, vol. 108, no. 12, 21 March 1988 (1988-03-21) Columbus, Ohio, US; abstract no. 96262, DONOUE, KOICHI ET AL: "Ultrafine pigment		·
Anti-Migro -	-granules" XP002180446 abstract & JP 62 197463 A (SUMITOMO CEMENT CO.,		
	LTD., JAPAN) 1 September 1987 (1987-09-01)		
			-
		·	

ormation on patent family members

Intergenal Application No
PCT/GB 01/02781

Patent docu	ment	Publication		Patent family	Publication
cited in search		date		member(s)	date
US 50680	66 A	26-11-1991	AU	620473 B2	20-02-1992
			AU	4564089 A	21-06-1990
			BE	1004166 A5 2004112 A1	06-10-1992 16-06-1990
			CA DE	3941543 A1	28-06-1990
			ES	2029152 A6	16-07-1992
			FR	2640635 A1	22-06-1990
			GB	2226018 A	
			IT	1238010 B	21-06-1993
			JP	2212315 A	23-08-1990
			JP	2781433 B2	30-07-1998
			NL	8903014 A	16-07-1990
			ZA 	8909319 A	26-09-1990
EP 05994	92 A	01-06-1994	DE	69302993 D1	11-07-1996
			DE	69302993 T2	10-10-1996 01-06-1994
			EP FI	0599492 A1 935204 A	25-05-1994
			GB	2272691 A	
			- ·NO ·		25-05-1994
EP 08618)6 A	02-09-1998	JP	10245228 A	14-09-1998
			DE	69800307 D1	26-10-2000
			DE	69800307 T2	25-01-2001
			EP	0861806 A1	02-09-1998
			US 	6099634 A	08-08-2000
US 49274	54 A	22-05-1990	AU	599229 B2	12-07-1990
			AU	1563988 A	01-12-1988
			AU AU	602982 B2 1564088 A	01-11-1990 01-12-1988
			BE	1000655 A3	28-02-1989
			BE	1000656 A3	28-02-1989
			CA	1307876 A1	29-09-1992
	-		CA	1308330 A1	06-10-1992
			DE	3817908 A1	08-12-1988
			DE	3817909 A1	08-12-1988
			ES	2010548 A6	16-11-1989
			ES FR	2009922 A6 2615859 A1	16-10-1989 02-12-1988
			FR	2615860 A1	02-12-1988
			GB	2205088 A	
			ĞB	2206339 A	B 05-01-1989
			ΙT	1219626 B	24-05-1990
			ΙT	1219627 B	24-05-1990
			JP	6102545 B	14-12-1994
			JP	63307119 A	14-12-1988
			JP JP	1007941 A 1930733 C	11-01-1989 12-05-1995
			JP	6061457 B	17-05-1995
			NL	8801350 A ,	B 16-12-1988
			NL	8801351 A	
			ÜS	5599529 A	04-02-1997
			ZĄ_	. 8803723 A	22-02-1989
			ZA	8803724 A	22-02-1989
110 40460	0 A	20-01-1981	JP	54158435 A	14-12-1979
US 424604	•		JP	56034232 B	08-08-1981

'ormation on patent family members

PCT7GB 01/02781

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 4246040	Α		BE CA DE	876782 A1 1114558 A1 2922919 A1	05-12-1979 22-12-1981 13-12-1979
			FR GB	2428067 A1 2022566 A ,B	04-01-1980 19-12-1979
			IT NL	1121247 B 7904393 A	26-03-1986 07-12-1979
JP 62197463	Α	01-09-1987	JP JP	1894132 C 6017212 B	26-12-1994 09-03-1994